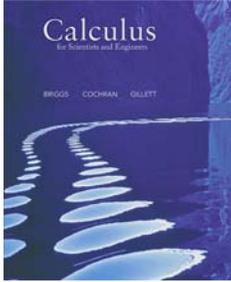


# Chapter 4

## Applications of the Derivative



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# 4.1

## Maxima and Minima

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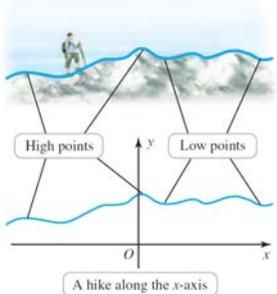
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### Figure 4.1



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**DEFINITION Absolute Maximum and Minimum**

Let  $f$  be defined on an interval  $I$  containing  $c$ . If  $f(c) \geq f(x)$  for every  $x$  in  $I$ , then  $f$  has an **absolute maximum** value of  $f(c)$  on  $I$  at  $c$ . If  $f(c) \leq f(x)$  for every  $x$  in  $I$ , then  $f$  has an **absolute minimum** value of  $f(c)$  on  $I$  at  $c$ .

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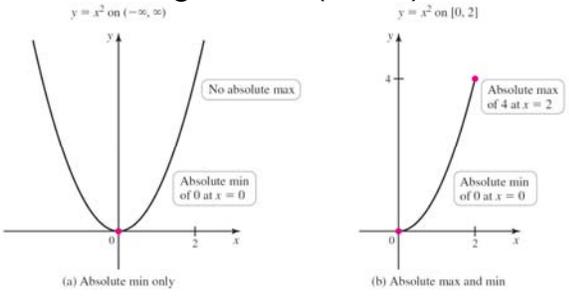
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Figure 4.2 (a & b)



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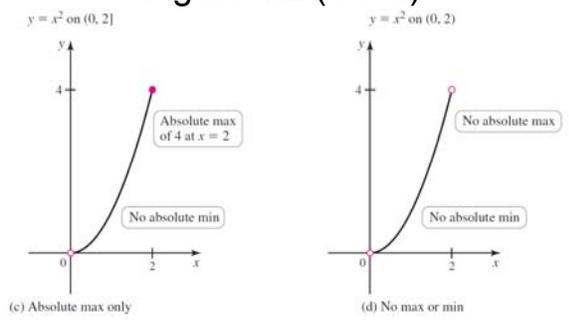
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Figure 4.2 (c & d)



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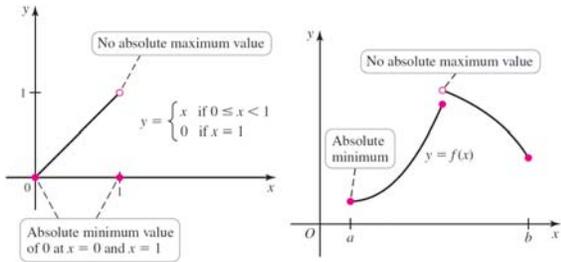
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Figure 4.3




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**THEOREM 4.1 Extreme Value Theorem**

A function that is continuous on a closed interval  $[a, b]$  has an absolute maximum value and an absolute minimum value on that interval.

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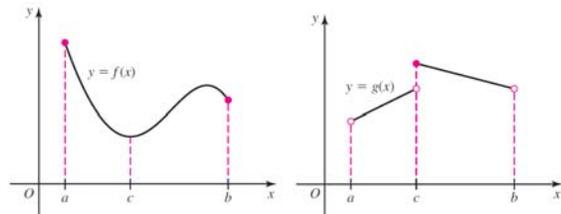
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Figure 4.4




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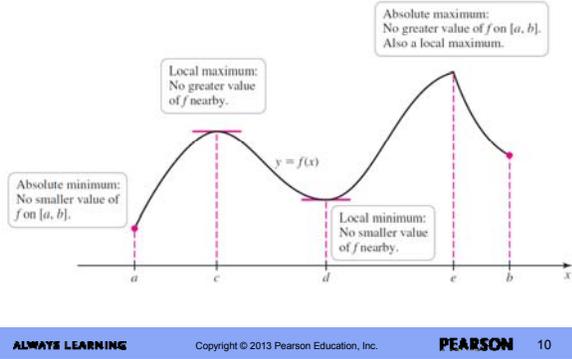
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Figure 4.5




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**DEFINITION** Local Maximum and Minimum Values

Suppose  $I$  is an interval on which  $f$  is defined and  $c$  is an interior point of  $I$ . If  $f(c) \geq f(x)$  for all  $x$  in some open interval containing  $c$ , then  $f(c)$  is a **local maximum** value of  $f$ . If  $f(c) \leq f(x)$  for all  $x$  in some open interval containing  $c$ , then  $f(c)$  is a **local minimum** value of  $f$ .

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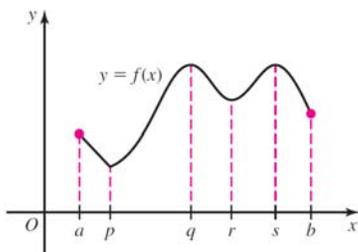
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Figure 4.6




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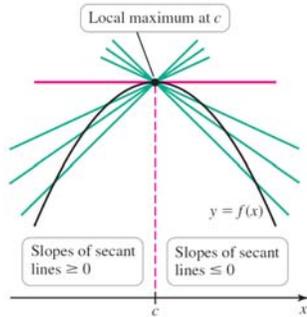
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Figure 4.7



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**THEOREM 4.2 Local Extreme Point Theorem**

If  $f$  has a local maximum or minimum value at  $c$  and  $f'(c)$  exists, then  $f'(c) = 0$ .

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**DEFINITION Critical Point**

An interior point  $c$  of the domain of  $f$  at which  $f'(c) = 0$  or  $f'(c)$  fails to exist is called a **critical point** of  $f$ .

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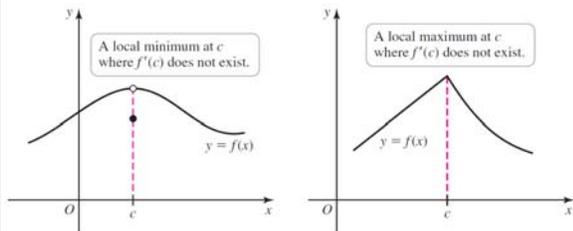
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Figure 4.8 (a & b)



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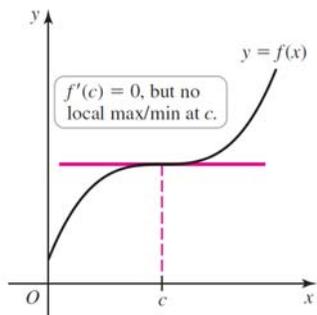
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Figure 4.9 (a)



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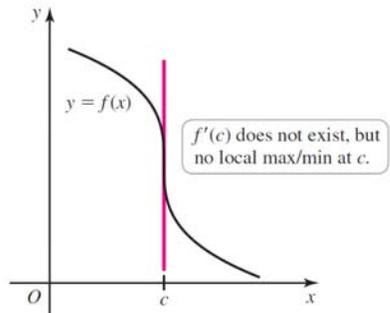
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Figure 4.9 (b)



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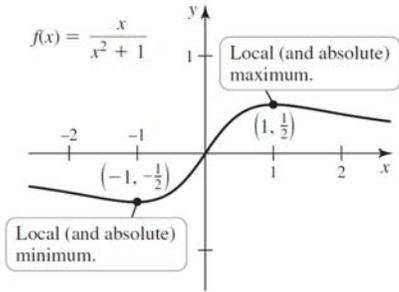
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Figure 4.10




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**PROCEDURE** Locating Absolute Maximum and Minimum Values

Assume the function  $f$  is continuous on the closed interval  $[a, b]$ .

1. Locate the critical points  $c$  in  $(a, b)$ , where  $f'(c) = 0$  or  $f'(c)$  does not exist. These points are candidates for absolute maxima and minima.
2. Evaluate  $f$  at the critical points and at the endpoints of  $[a, b]$ .
3. Choose the largest and smallest values of  $f$  from Step 2 for the absolute maximum and minimum values, respectively.

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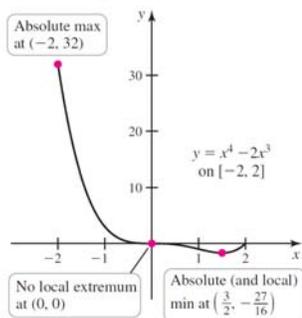
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Figure 4.11




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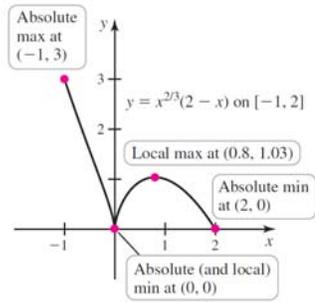
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Figure 4.12



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# 4.2

## What Derivatives Tell Us

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### DEFINITION Increasing and Decreasing Functions

Suppose a function  $f$  is defined on an interval  $I$ . We say that  $f$  is **increasing** on  $I$  if  $f(x_2) > f(x_1)$  whenever  $x_1$  and  $x_2$  are in  $I$  and  $x_2 > x_1$ . We say that  $f$  is **decreasing** on  $I$  if  $f(x_2) < f(x_1)$  whenever  $x_1$  and  $x_2$  are in  $I$  and  $x_2 > x_1$ .

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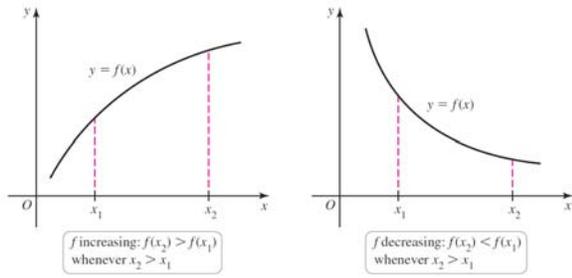
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Figure 4.13




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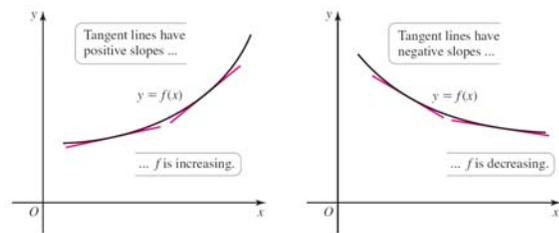
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Figure 4.14



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**THEOREM 4.3 Test for Intervals of Increase and Decrease**  
 Suppose  $f$  is continuous on an interval  $I$  and differentiable at all interior points of  $I$ . If  $f'(x) > 0$  at all interior points of  $I$ , then  $f$  is increasing on  $I$ . If  $f'(x) < 0$  at all interior points of  $I$ , then  $f$  is decreasing on  $I$ .

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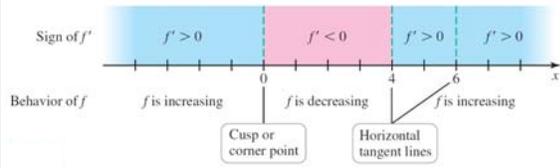
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Figure 4.15




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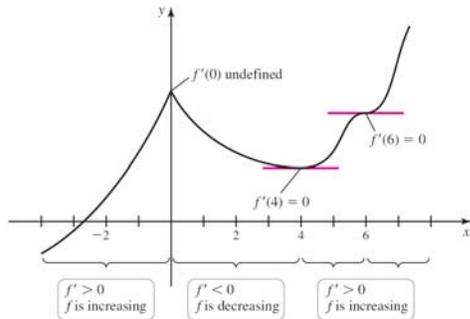
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Figure 4.16



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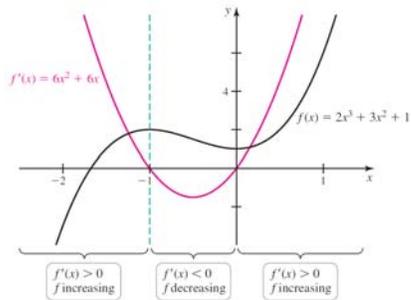
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Figure 4.17




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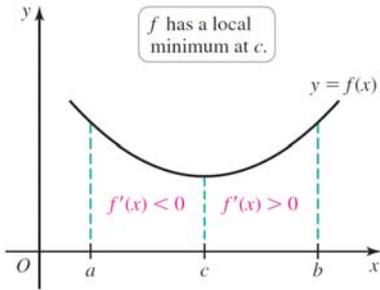
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Figure 4.18 (a)




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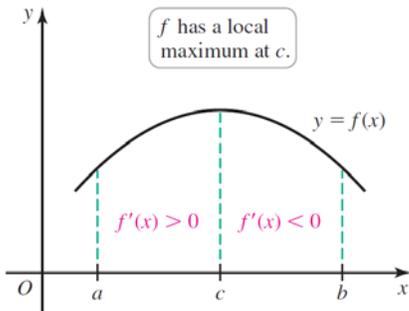
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Figure 4.18 (b)



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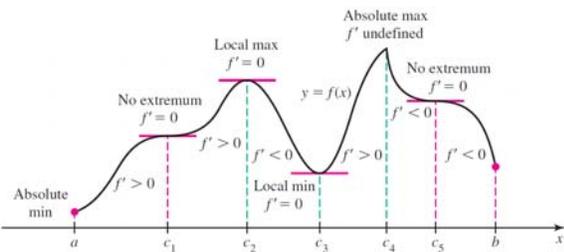
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Figure 4.19




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**THEOREM 4.4 First Derivative Test**

Suppose that  $f$  is continuous on an interval that contains a critical point  $c$  and assume  $f$  is differentiable on an interval containing  $c$ , except perhaps at  $c$  itself.

- If  $f'$  changes sign from positive to negative as  $x$  increases through  $c$ , then  $f$  has a **local maximum** at  $c$ .
- If  $f'$  changes sign from negative to positive as  $x$  increases through  $c$ , then  $f$  has a **local minimum** at  $c$ .
- If  $f'$  does not change sign at  $c$  (from positive to negative or vice versa), then  $f$  has no local extreme value at  $c$ .

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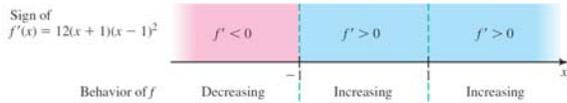
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Figure 4.20



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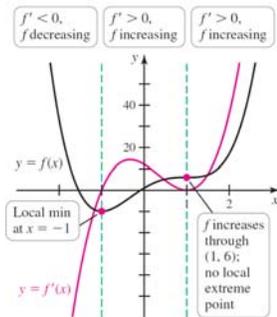
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Figure 4.21




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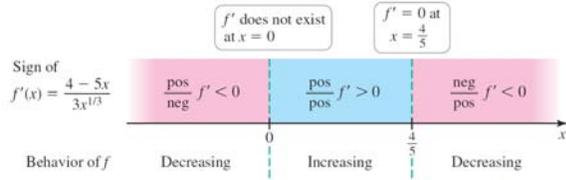
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Figure 4.22




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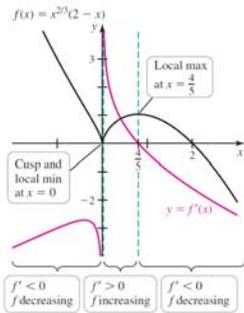
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Figure 4.23



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**THEOREM 4.5 One Local Extremum Implies Absolute Extremum**

Suppose  $f$  is continuous on an interval  $I$  that contains one local extremum at  $c$ .

- If a local maximum occurs at  $c$ , then  $f(c)$  is the absolute maximum of  $f$  on  $I$ .
- If a local minimum occurs at  $c$ , then  $f(c)$  is the absolute minimum of  $f$  on  $I$ .

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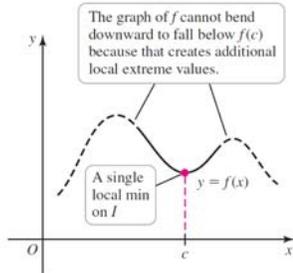
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Figure 4.24




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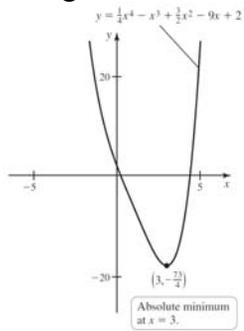
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Figure 4.25



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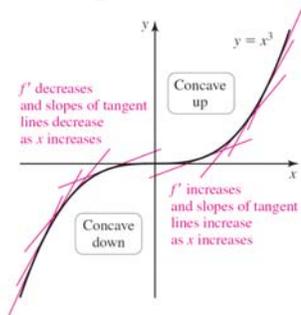
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Figure 4.26




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**DEFINITION Concavity and Inflection Point**

Let  $f$  be differentiable on an open interval  $I$ . If  $f'$  is increasing on  $I$ , then  $f$  is **concave up** on  $I$ . If  $f'$  is decreasing on  $I$ , then  $f$  is **concave down** on  $I$ .

If  $f$  is continuous at  $c$  and  $f$  changes concavity at  $c$  (from up to down, or vice versa), then  $f$  has an **inflection point** at  $c$ .

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**THEOREM 4.6 Test for Concavity**

Suppose that  $f''$  exists on an interval  $I$ .

- If  $f'' > 0$  on  $I$ , then  $f$  is concave up on  $I$ .
- If  $f'' < 0$  on  $I$ , then  $f$  is concave down on  $I$ .
- If  $c$  is a point of  $I$  at which  $f''$  changes sign at  $c$ , then  $f$  has an inflection point at  $c$ .

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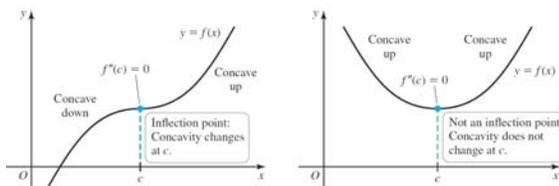
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Figure 4.27 (a & b)



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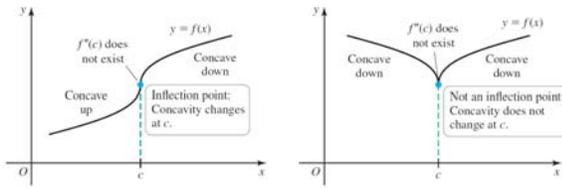
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Figure 4.27 (c & d)



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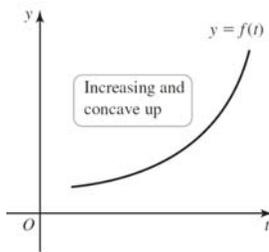
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Figure 4.28 (a)



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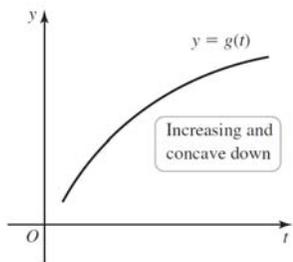
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Figure 4.28 (b)



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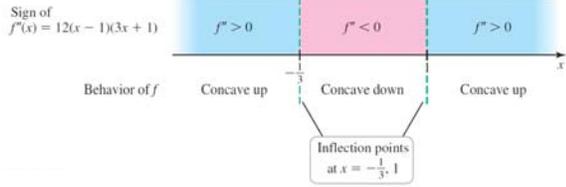
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Figure 4.29




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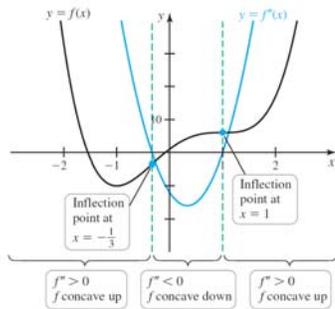
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Figure 4.30



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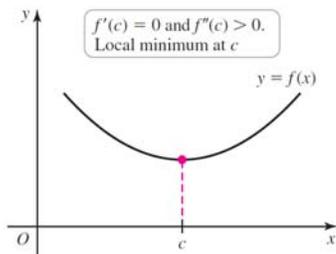
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Figure 4.31 (a)




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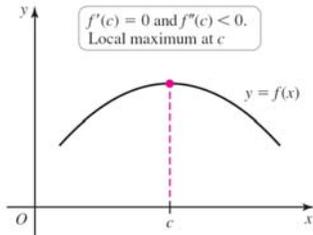
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Figure 4.31 (b)




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**THEOREM 4.7** Second Derivative Test for Local Extrema

Suppose that  $f''$  is continuous on an open interval containing  $c$  with  $f'(c) = 0$ .

- If  $f''(c) > 0$ , then  $f$  has a local minimum at  $c$ .
- If  $f''(c) < 0$ , then  $f$  has a local maximum at  $c$ .
- If  $f''(c) = 0$ , then the test is inconclusive;  $f$  may have a local maximum, local minimum, or neither at  $c$ .

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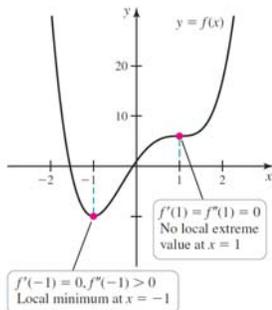
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Figure 4.32




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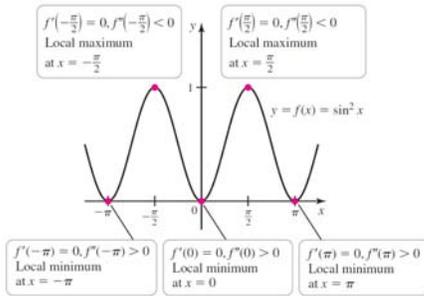
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Figure 4.33




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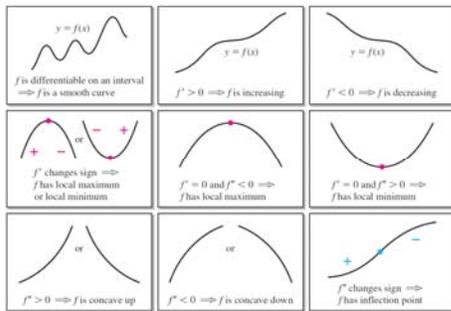
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Figure 4.34



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# 4.3

## Graphing Functions

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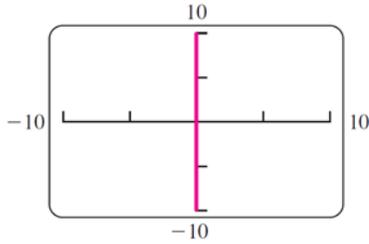
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Figure 4.35 (a)



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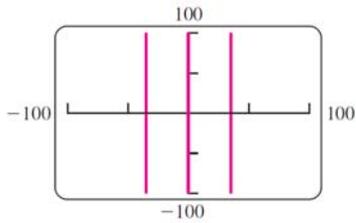
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Figure 4.35 (b)



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**Graphing Guidelines for  $y = f(x)$**

1. **Identify the domain or interval of interest.** On what interval should the function be graphed? It may be the domain of the function or some subset of the domain.
2. **Exploit symmetry.** Take advantage of symmetry. For example, is the function *even* ( $f(-x) = f(x)$ ), *odd* ( $f(-x) = -f(x)$ ), or neither?
3. **Find the first and second derivatives.** They are needed to determine extreme values, concavity, inflection points, and intervals of increase and decrease. Computing derivatives—particularly second derivatives—may not be practical, so some functions may need to be graphed without complete derivative information.
4. **Find critical points and possible inflection points.** Determine points at which  $f'(x) = 0$  or  $f'$  is undefined. Determine points at which  $f''(x) = 0$  or  $f''$  is undefined.

*continued...*

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Graphing Guideline for  $y = f(x)$  (continued)

5. **Find intervals on which the function is increasing/decreasing and concave up/down.** The first derivative determines the intervals of increase and decrease. The second derivative determines the intervals on which the function is concave up or concave down.
6. **Identify extreme values and inflection points.** Use either the First or the Second Derivative Test to classify the critical points. Both  $x$ - and  $y$ -coordinates of maxima, minima, and inflection points are needed for graphing.
7. **Locate vertical/horizontal asymptotes and determine end behavior.** Vertical asymptotes often occur at zeros of denominators. Horizontal asymptotes require examining limits as  $x \rightarrow \pm \infty$ ; these limits determine end behavior.
8. **Find the intercepts.** The  $y$ -intercept of the graph is found by setting  $x = 0$ . The  $x$ -intercepts are the real zeros (or roots) of a function; those values of  $x$  that satisfy  $f(x) = 0$ .
9. **Choose an appropriate graphing window and make a graph.** Use the results of the above steps to graph the function. If you use graphing software, check for consistency with your analytical work. Is your graph *complete*—that is, does it show all the essential details of the function?

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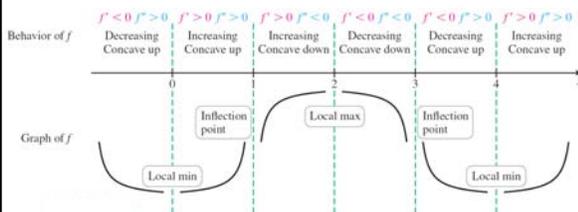
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Figure 4.36



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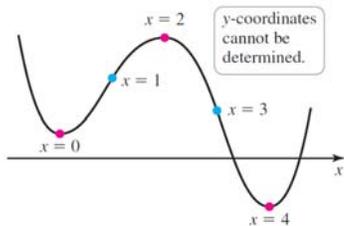
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Figure 4.37




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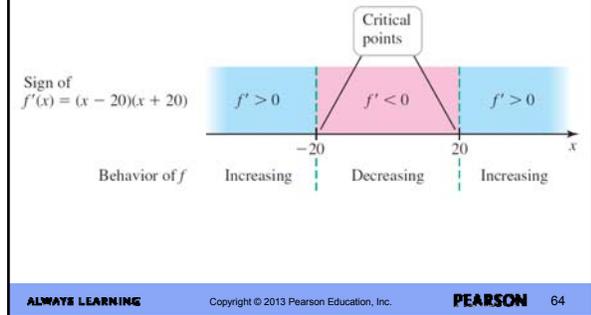
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Figure 4.38




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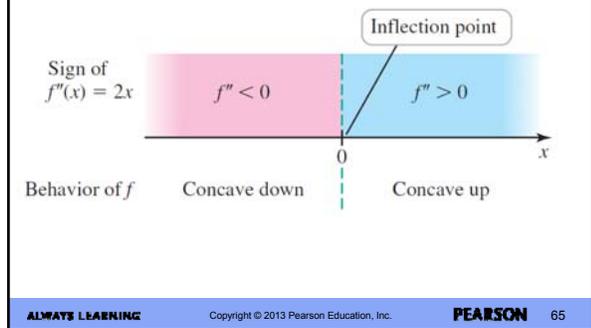
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Figure 4.39



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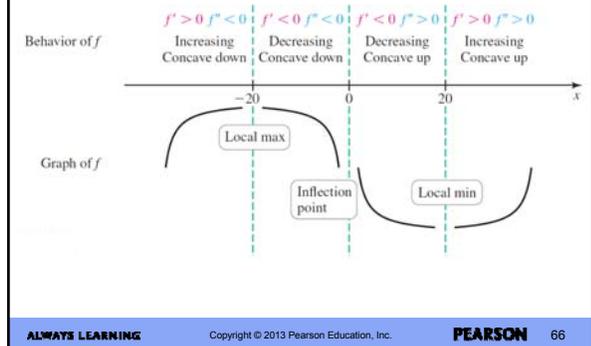
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Figure 4.40




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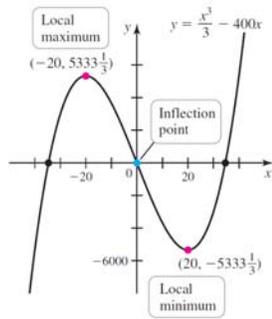
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Figure 4.41




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Table 4.1

	$20x$	$x^2 + 3$	$(x^2 - 1)^3$	Sign of $f''$
$(-\infty, -1)$	-	+	+	-
$(-1, 0)$	-	+	-	+
$(0, 1)$	+	+	-	-
$(1, \infty)$	+	+	+	+

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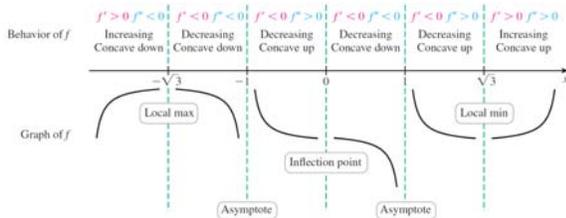
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Figure 4.42




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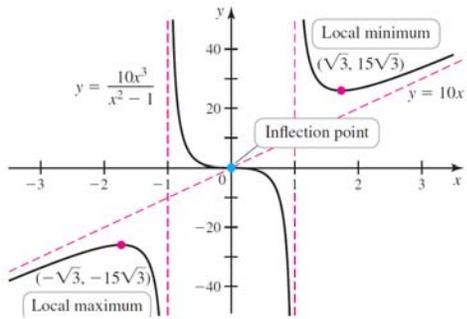
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Figure 4.43




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Table 4.2

	$\frac{x^{-1/3}}{3}$	$9x + 4$	$x - 1$	Sign of $f'$
$(-\infty, -\frac{4}{9})$	-	-	-	-
$(-\frac{4}{9}, 0)$	-	+	-	+
$(0, 1)$	+	+	-	-
$(1, \infty)$	+	+	+	+

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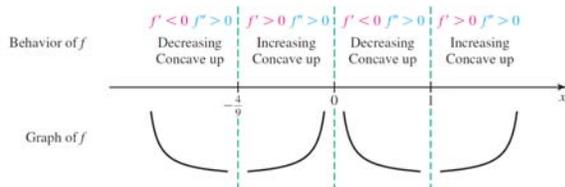
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Figure 4.44




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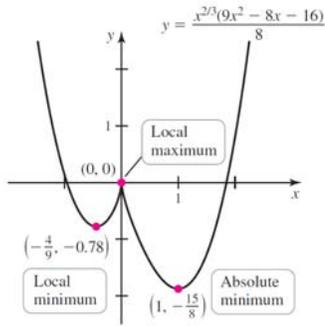
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Figure 4.45



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# 4.4

## Optimization Problems

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Table 4.3

$x$	$y$	$x + y$	$P = xy$
1	19	20	19
5.5	14.5	20	79.75
9	11	20	99
13	7	20	91
18	2	20	36

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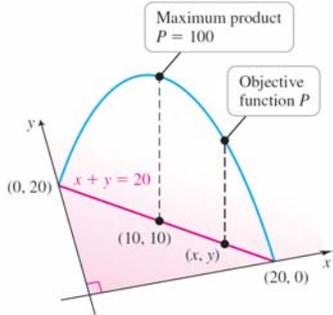
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Figure 4.46




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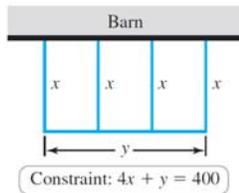
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Figure 4.47



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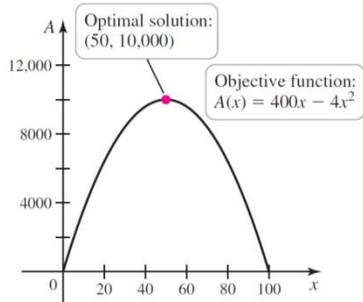
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Figure 4.48




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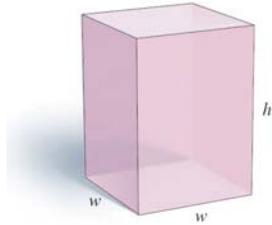
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Figure 4.49



Objective function:  $V = w^2h$   
Constraint:  $2w + h = 64$

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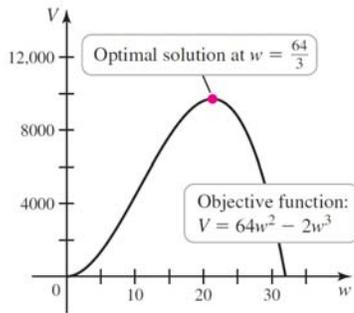
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Figure 4.50



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**Guidelines for Optimization Problems**

1. Read the problem carefully, identify the variables, and organize the given information with a picture.
2. Identify the objective function (the function to be optimized). Write it in terms of the variables of the problem.
3. Identify the constraint(s). Write them in terms of the variables of the problem.
4. Use the constraint(s) to eliminate all but one independent variable of the objective function.
5. With the objective function expressed in terms of a single variable, find the interval of interest for that variable.
6. Use methods of calculus to find the absolute maximum or minimum value of the objective function on the interval of interest. If necessary, check the endpoints.

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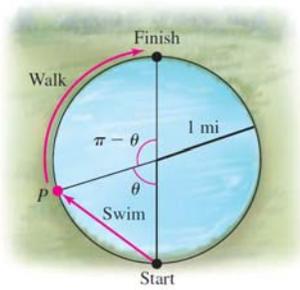
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Figure 4.51




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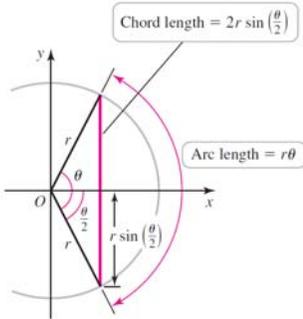
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Figure 4.52



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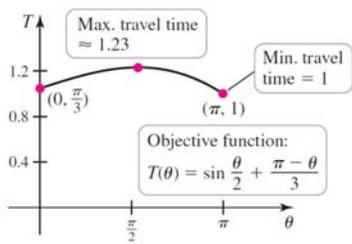
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Figure 4.53




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Figure 4.54 (a)



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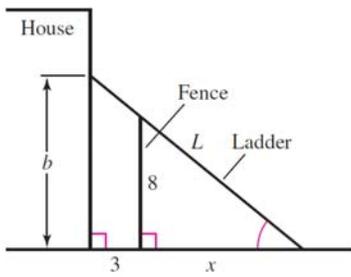
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Figure 4.54 (b)



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# 4.5

## Linear Approximation and Differentials

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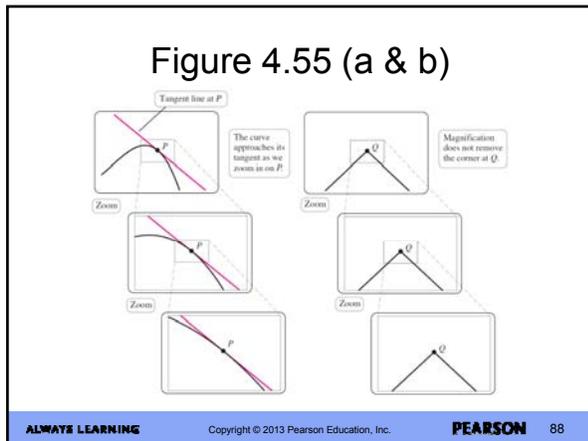
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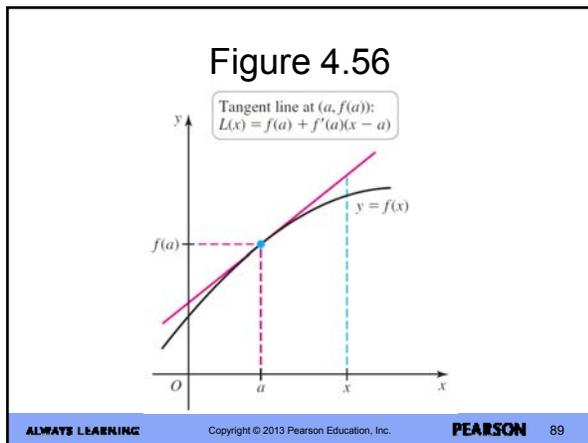
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**DEFINITION** Linear Approximation to  $f$  at  $a$

Suppose  $f$  is differentiable on an interval  $I$  containing the point  $a$ . The linear approximation to  $f$  at  $a$  is the linear function

$$L(x) = f(a) + f'(a)(x - a), \quad \text{for } x \text{ in } I.$$

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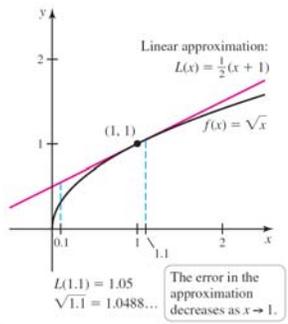
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Figure 4.57




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Table 4.4

$x$	$L(x)$	Exact $\sqrt{x}$	Percent error
1.2	1.1	1.0954...	0.4%
1.1	1.05	1.0488...	0.1%
1.01	1.005	1.0049...	0.001%
1.001	1.0005	1.0005...	0.00001%

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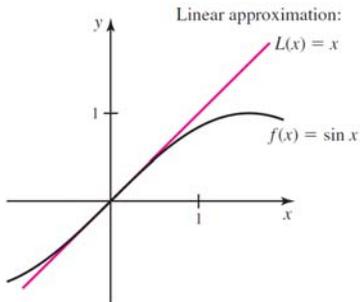
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Figure 4.58




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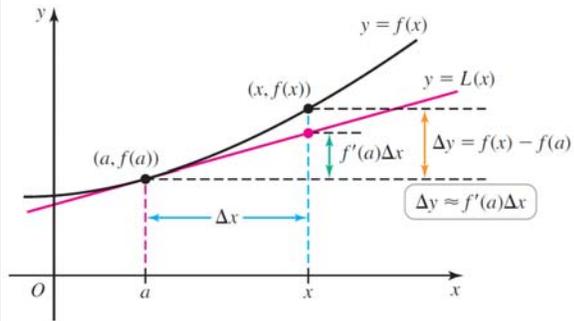
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Figure 4.59




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**Relationship Between  $\Delta x$  and  $\Delta y$**

Suppose  $f$  is differentiable on an interval  $I$  containing the point  $a$ . The change in the value of  $f$  between two points  $a$  and  $a + \Delta x$  is approximately

$$\Delta y \approx f'(a) \Delta x,$$

where  $a + \Delta x$  is in  $I$ .

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**SUMMARY Uses of Linear Approximation**

- To approximate  $f$  near  $x = a$ , use

$$f(x) \approx L(x) = f(a) + f'(a)(x - a).$$

- To approximate the change  $\Delta y$  in the dependent variable when  $x$  changes from  $a$  to  $a + \Delta x$ , use

$$\Delta y \approx f'(a) \Delta x.$$

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**DEFINITION Differentials**

Let  $f$  be differentiable on an interval containing  $x$ . A small change in  $x$  is denoted by the **differential**  $dx$ . The corresponding change in  $f$  is approximated by the **differential**  $dy = f'(x) dx$ ; that is,

$$\Delta y = f(x + dx) - f(x) \approx dy = f'(x) dx.$$

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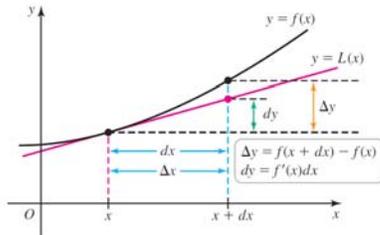
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Figure 4.60

$$\frac{dy}{dx} = \frac{f'(x) dx}{dx} = f'(x).$$



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# 4.6

## Mean Value Theorem

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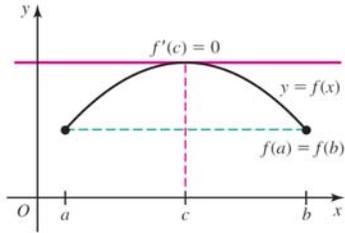
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Figure 4.61




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**THEOREM 4.8 Rolle's Theorem**

Let  $f$  be continuous on a closed interval  $[a, b]$  and differentiable on  $(a, b)$  with  $f(a) = f(b)$ . There is at least one point  $c$  in  $(a, b)$  such that  $f'(c) = 0$ .

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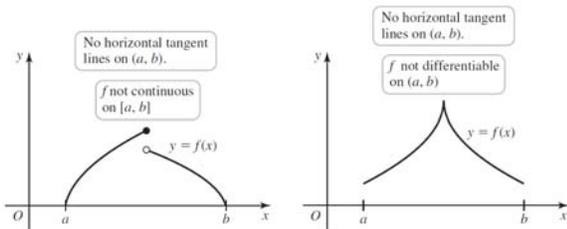
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Figure 4.62




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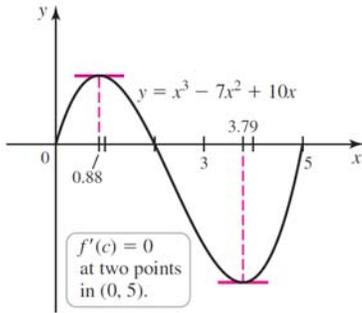
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Figure 4.63




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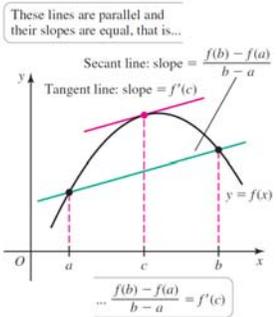
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Figure 4.64



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**THEOREM 4.9 Mean Value Theorem**

If  $f$  is continuous on the closed interval  $[a, b]$  and differentiable on  $(a, b)$ , then there is at least one point  $c$  in  $(a, b)$  such that

$$\frac{f(b) - f(a)}{b - a} = f'(c).$$

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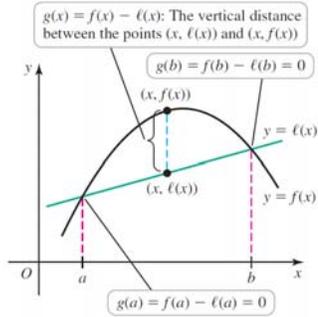
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Figure 4.65




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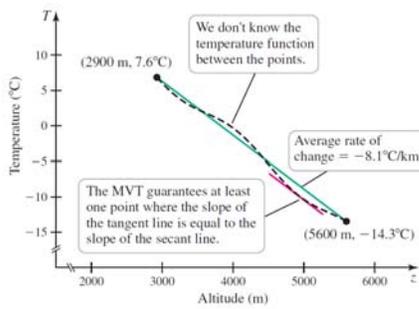
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Figure 4.66



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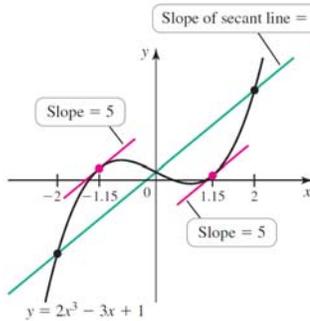
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Figure 4.67




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**THEOREM 4.10 Zero Derivative Implies Constant Function**

If  $f$  is differentiable and  $f'(x) = 0$  at all points of an interval  $I$ , then  $f$  is a constant function on  $I$ .

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**THEOREM 4.11 Functions with Equal Derivatives Differ by a Constant**

If two functions have the property that  $f'(x) = g'(x)$ , for all  $x$  of an interval  $I$ , then  $f(x) - g(x) = C$  on  $I$ , where  $C$  is a constant; that is,  $f$  and  $g$  differ by a constant.

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**THEOREM 4.12 Intervals of Increase and Decrease**

Suppose  $f$  is continuous on an interval  $I$  and differentiable at all interior points of  $I$ . If  $f'(x) > 0$  at all interior points of  $I$ , then  $f$  is increasing on  $I$ . If  $f'(x) < 0$  at all interior points of  $I$ , then  $f$  is decreasing on  $I$ .

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# 4.7

## L'Hôpital's Rule

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### THEOREM 4.13 L'Hôpital's Rule

Suppose  $f$  and  $g$  are differentiable on an open interval  $I$  containing  $a$  with  $g'(x) \neq 0$  on  $I$  when  $x \neq a$ . If  $\lim_{x \rightarrow a} f(x) = \lim_{x \rightarrow a} g(x) = 0$ , then

$$\lim_{x \rightarrow a} \frac{f(x)}{g(x)} = \lim_{x \rightarrow a} \frac{f'(x)}{g'(x)},$$

provided the limit on the right exists (or is  $\pm\infty$ ). The rule also applies if  $x \rightarrow a$  is replaced by  $x \rightarrow \pm\infty$ ,  $x \rightarrow a^+$ , or  $x \rightarrow a^-$ .

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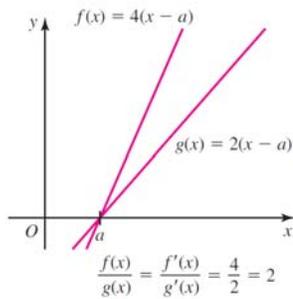
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Figure 4.68



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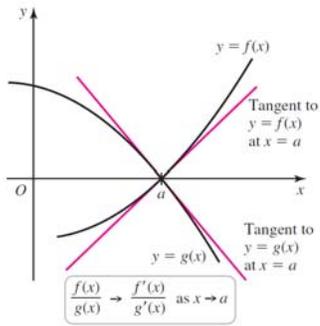
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Figure 4.69




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**THEOREM 4.14 L'Hôpital's Rule ( $\infty/\infty$ )**

Suppose that  $f$  and  $g$  are differentiable on an open interval  $I$  containing  $a$ , with  $g'(x) \neq 0$  on  $I$  when  $x \neq a$ . If  $\lim_{x \rightarrow a} f(x) = \pm \infty$  and  $\lim_{x \rightarrow a} g(x) = \pm \infty$ , then

$$\lim_{x \rightarrow a} \frac{f(x)}{g(x)} = \lim_{x \rightarrow a} \frac{f'(x)}{g'(x)}$$

provided the limit on the right exists (or is  $\pm \infty$ ). The rule also applies for  $x \rightarrow \pm \infty$ ,  $x \rightarrow a^+$ , or  $x \rightarrow a^-$ .

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# 4.8

## Newton's Method

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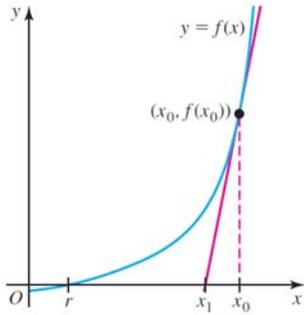
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Figure 4.70 (a)



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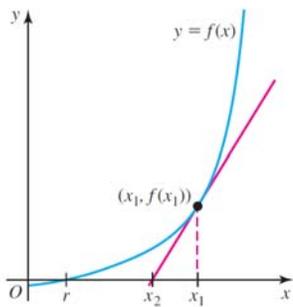
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Figure 4.70 (b)



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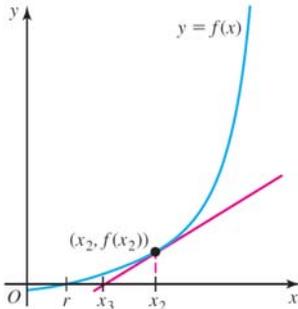
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Figure 4.70 (c)



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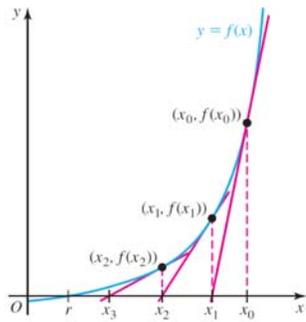
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Figure 4.71




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**PROCEDURE** Newton's Method for Approximating Roots of  $f(x) = 0$

1. Choose an initial approximation  $x_0$  as close to a root as possible.
2. For  $n = 0, 1, 2, \dots$

$$x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)}$$

provided  $f'(x_n) \neq 0$ .

3. End the calculations when a termination condition is met.

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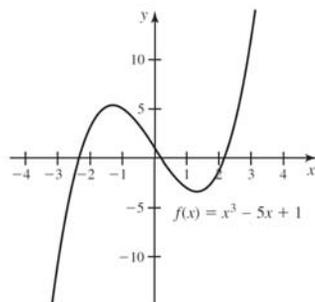
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Figure 4.72




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Table 4.5

$k$	$x_k$	$x_k$	$x_k$
0	-3	1	4
1	-2.500000	-0.500000	2.953488
2	-2.345455	0.294118	2.386813
3	-2.330203	0.200215	2.166534
4	-2.330059	0.201639	2.129453
5	-2.330059	0.201640	2.128420
6	-2.330059	0.201640	2.128419
7	-2.330059	0.201640	2.128419

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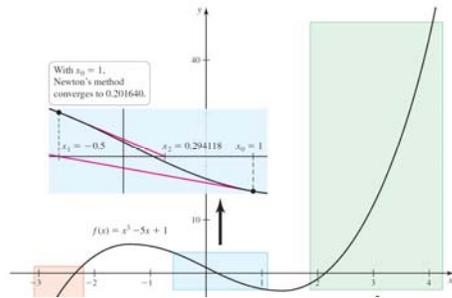
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Figure 4.73 (1 of 2)



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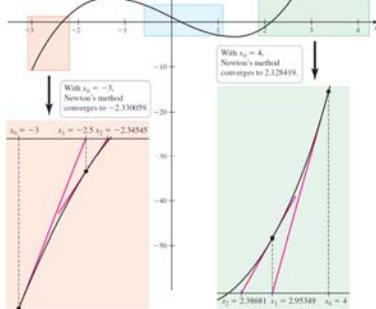
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Figure 4.73 (2 of 2)




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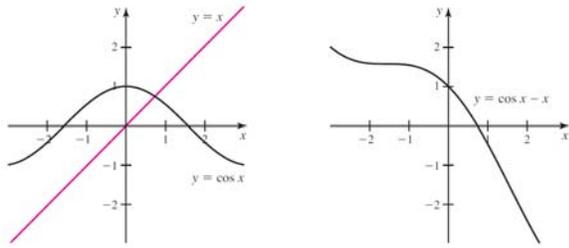
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Figure 4.74




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Table 4.6

$k$	$x_k$	Residual
0	0.5	0.377583
1	0.755222	-0.0271033
2	0.739142	-0.0000946154
3	0.739085	$-1.18098 \times 10^{-9}$
4	0.739085	0
5	0.739085	0
6	0.739085	0
7	0.739085	0
8	0.739085	0
9	0.739085	0
10	0.739085	0

43

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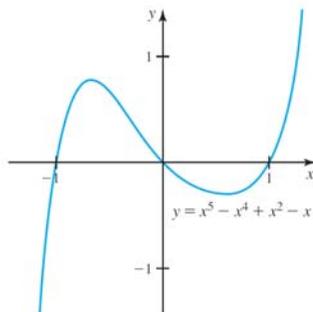
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Figure 4.75




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Table 4.7

$k$	$x_k$	$x_k$
0	0.200000	2.500000
1	0.499372	1.623915
2	0.550979	2.062202
3	0.553568	2.121018
4	0.553574	2.124360
5	0.553574	2.124371
6	0.553574	2.124371

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Table 4.8

$k$	$x_k$	$x_k$	$x_k$
0	1	0.15	1.1
1	-1	0.0699375	-1.4465
2	1	0.0344556	3.81665
3	-1	0.0171665	-81.4865
4	1	0.00857564	$8.11572 \times 10^5$
5	-1	0.00428687	$-8.01692 \times 10^{17}$

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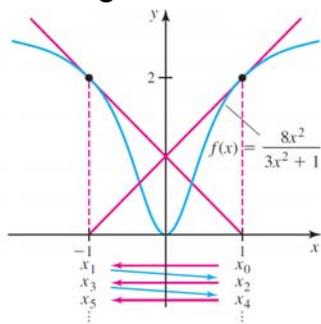
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Figure 4.76




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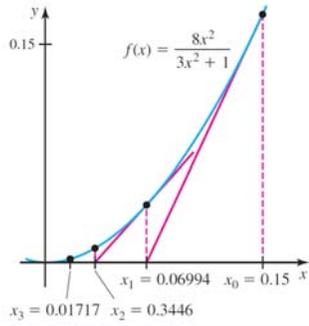
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Figure 4.77



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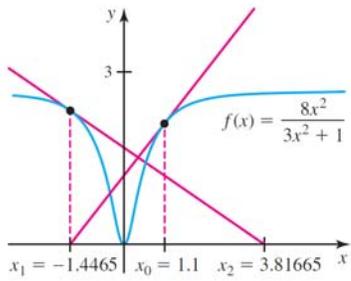
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Figure 4.78



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# 4.9

## Antiderivatives

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**DEFINITION Antiderivative**

A function  $F$  is an **antiderivative** of  $f$  on an interval  $I$  provided  $F'(x) = f(x)$ , for all  $x$  in  $I$ .

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**THEOREM 4.15 The Family of Antiderivatives**

Let  $F$  be any antiderivative of  $f$  on an interval  $I$ . Then *all* the antiderivatives of  $f$  on  $I$  have the form  $F + C$ , where  $C$  is an arbitrary constant.

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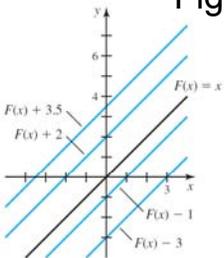
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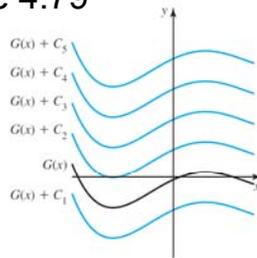
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Figure 4.79



Several antiderivatives of  $f(x) = 1$  from the family  $F(x) + C = x + C$



If  $G$  is any antiderivative of  $g$ , the graphs of the antiderivatives  $G + C$  are vertical translations of one another.

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**THEOREM 4.16** Power Rule for Indefinite Integrals

$$\int x^p dx = \frac{x^{p+1}}{p+1} + C,$$

where  $p \neq -1$  is a real number and  $C$  is an arbitrary constant.

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**THEOREM 4.17** Constant Multiple and Sum Rules

**Constant Multiple Rule:**  $\int cf(x) dx = c \int f(x) dx$ , for real numbers  $c$

**Sum Rule:**  $\int (f(x) + g(x)) dx = \int f(x) dx + \int g(x) dx$

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Table 4.9

- $\frac{d}{dx}(\sin ax) = a \cos ax \rightarrow \int \cos ax dx = \frac{1}{a} \sin ax + C$
- $\frac{d}{dx}(\cos ax) = -a \sin ax \rightarrow \int \sin ax dx = -\frac{1}{a} \cos ax + C$
- $\frac{d}{dx}(\tan ax) = a \sec^2 ax \rightarrow \int \sec^2 ax dx = \frac{1}{a} \tan ax + C$
- $\frac{d}{dx}(\cot ax) = -a \csc^2 ax \rightarrow \int \csc^2 ax dx = -\frac{1}{a} \cot ax + C$
- $\frac{d}{dx}(\sec ax) = a \sec ax \tan ax \rightarrow \int \sec ax \tan ax dx = \frac{1}{a} \sec ax + C$
- $\frac{d}{dx}(\csc ax) = -a \csc ax \cot ax \rightarrow \int \csc ax \cot ax dx = -\frac{1}{a} \csc ax + C$

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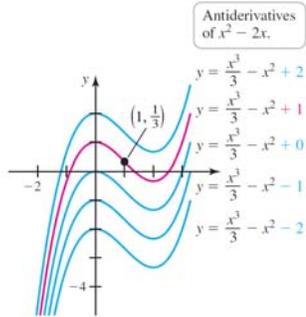
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Figure 4.80




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**Initial Value Problems for Velocity and Position**

Suppose an object moves along a line with a (known) velocity  $v(t)$ , for  $t \geq 0$ . Then its position is found by solving the initial value problem

$$s'(t) = v(t), \quad s(0) = s_0, \quad \text{where } s_0 \text{ is the initial position.}$$

If the acceleration of the object  $a(t)$  is given, then its velocity is found by solving the initial value problem

$$v'(t) = a(t), \quad v(0) = v_0, \quad \text{where } v_0 \text{ is the initial velocity.}$$

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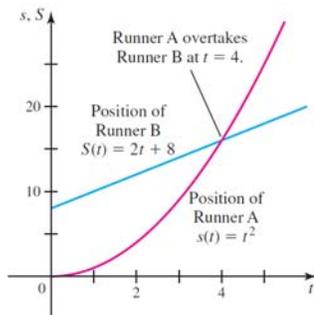
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Figure 4.81




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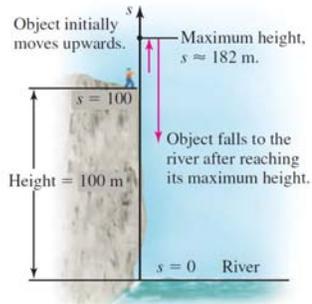
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Figure 4.82



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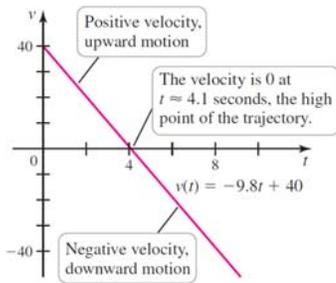
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Figure 4.83



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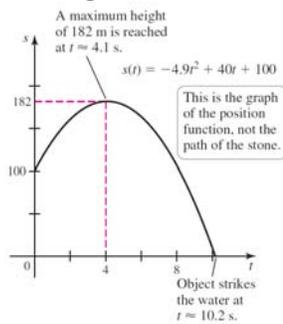
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Figure 4.84



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